

**BAKER BOTTs LLP**

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**TRANSMITTAL FORM**  
(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	Attorney Docket Number	31304-B-A-B 072874.0135															
<table border="1"> <tr> <td><b>Application Number</b></td> <td colspan="2">09/756,096</td> </tr> <tr> <td><b>Filing Date</b></td> <td colspan="2">01/08/2001</td> </tr> <tr> <td><b>First Named Inventor</b></td> <td colspan="2">Mitchell</td> </tr> <tr> <td><b>Group Art Unit</b></td> <td colspan="2">1623</td> </tr> <tr> <td><b>Examiner Name</b></td> <td colspan="2">TBD</td> </tr> </table>			<b>Application Number</b>	09/756,096		<b>Filing Date</b>	01/08/2001		<b>First Named Inventor</b>	Mitchell		<b>Group Art Unit</b>	1623		<b>Examiner Name</b>	TBD	
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<b>First Named Inventor</b>	Mitchell																
<b>Group Art Unit</b>	1623																
<b>Examiner Name</b>	TBD																

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Petition to revive unintentionally abandoned application; Sequence Listing in paper and computer readable form.
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	<b>Remarks</b>	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112		
Signature	<i>Carmella L. Stephens</i>		
Date	December 21, 2001		
Att Name:	Carmella L. Stephens		
PTO Reg:	41,328		

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: **December 21, 2001**

Typed or printed name	Carmella L. Stephens		
Signature	<i>Carmella L. Stephens</i>	Date	December 21, 2001

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 2,200)

## Complete if Known

Application Number	09/756,096
Filing Date	01/08/2001
First Named Inventor	Mitchell
Examiner Name	TBD
Group Art Unit	1623
Attorney Docket No.	31304-B-A-B 072874.0135

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **02-4377**  
Deposit Account Name **Baker Botts LLP**

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee (\$)	Fee (\$)		
740	370	Utility filing fee	
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	
<b>SUBTOTAL (1) (\$ 0)</b>			

### 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
			20 .. = 0	X 0 = 0	0
			3 .. = 0	X 0 = 0	0

### Large Entity Small Entity

Fee (\$)	Fee (\$)	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent claim, if not paid
84	42	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2) (\$ 0)</b>		

\*or number previously paid, if greater; For Reissues, see above

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee (\$)	Fee (\$)		
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	920
920	460	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	
1,960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,280	640	Petition to revive - unintentional	1,280
1,280	640	Utility issue fee (or reissue)	
460	230	Design issue fee	
620	310	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
740	370	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	
Other fee (specify) _____			

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$ 2,200)**

## SUBMITTED BY

Name (Print/Type)	Carmella L. Stephens	Registration No. (Attorney/Agent)	41,328	Complete (if applicable)
Signature	<i>Carmella L. Stephens</i>			Date December 21, 2001

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SEARCHED SERIALIZED FILED  
*Duplicate*

FILE NO.A31304-B-A-B 072874.0135  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mitchell et al  
Serial No. : 09/756,096                      Examiner : TBD  
Filed : January 8, 2001                      Group Art Unit: 1623  
For : METHODS AND COMPOSITIONS FOR USE IN SPLICEOSOME  
      MEDIATED RNA TRANS-SPlicing

SUBMISSION OF SEQUENCE LISTING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

December 21, 2001

Date of Deposit

Carmella L. Stephens

Attorney Name

41,328

PTO Registration No

Carmella L. Stephens

Signature

December 21, 2001

Date of Signature

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §1.821-1.825, Applicants submit herewith an initial Sequence Listing in computer and paper form.

IN THE SPECIFICATION

Please substitute the attached specification.

REMARKS

Applicants submit herewith a Sequence Listing in computer and paper form, in accordance with 37 C.F.R. §1.821-1.825. The content of the paper and computer readable copies of the Sequence Listing submitted in accordance with 37 C.F.R. §1.821(c) and (e) are the same and do not include new matter.

The substitute specification including sequence identifiers appear in the preceding "IN THE SPECIFICATION" section. Attached hereto is a marked-up version of the changes made to the specification paragraphs. The attached page is captioned "VERSION WITH MARKINGS TO SHOW CHANGES MADE" and is only included for the Examiner's convenience. Should any discrepancies be discovered, the version presented in the preceding "IN THE SPECIFICATION" section shall take precedence.

U.S. PATENT AND TRADEMARK OFFICE  
FILE NO.A31304-B-A-B 072874.0135  
PATENT

CONCLUSION

Please charge any fees associated with this filing or credit any overpayment to  
Deposit Account No. 02-4377. Two copies of this paper are enclosed.

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Respectfully submitted,

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Rochelle K. Seide  
PTO Registration No. 32,300

Carmella L. Stephens  
PTO Registration No. 41,328  
Attorney/Agent for Applicant

BAKER BOTTS, L.L.P.  
30 Rockefeller Plaza  
New York, NY 10112  
(212) 408-2539